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65898 7590 05/08/2007

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| | |
|--------------------------|--------------------|
| <u>Steven Sponseller</u> | (Depositor's name) |
| <u>[Signature]</u> | (Signature) |
| <u>5-30-2007</u> | (Date) |

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
|-----------------|-------------|----------------------|---------------------|------------------|

10/613,857 07/02/2003 Leo Chiu APPT-017.US 4334

TITLE OF INVENTION: BEHAVIORAL ADAPTATION ENGINE FOR DISCERNING BEHAVIORAL CHARACTERISTICS OF CALLERS INTERACTING WITH AN VXML-COMPLIANT VOICE APPLICATION

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
|-------------|--------------|---------------|---------------------|----------------------|------------------|----------|
|-------------|--------------|---------------|---------------------|----------------------|------------------|----------|

nonprovisional YES \$700 \$300 \$0 \$1000 08/08/2007

| EXAMINER | ART UNIT | CLASS-SUBCLASS | 06/05/2007 WASFAW2 00000015 10613857 |
|------------------|----------|----------------|--|
| GAUTHIER, GERALD | 2614 | 379-088040 | 01 FC:2501 02 FC:1504 03 FC:1501 |

700.00 OP
 300.00 OP
 6.00 OP

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.563).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
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2. For printing on the patent front page (37 CFR 1.510):
- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

APPTERA, Inc.

San Bruno, California

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☒ Issue Fee
- ☒ Publication Fee (No small entity discount permitted)
- ☒ Advance Order - # of Copies 2

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5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature

Date

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Registration No.

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